

2020 SPECIAL SESSION I

SENATE SUBSTITUTE

20201005D

SENATE BILL NO. 5035

AMENDMENT IN THE NATURE OF A SUBSTITUTE

(Proposed by the Senate Committee on Finance and Appropriations
on September 3, 2020)

(Patron Prior to Substitute—Senator Hashmi)

A *BILL to amend and reenact §§ 9.1-507 and 15.2-1507 of the Code of Virginia and to amend the Code of Virginia by adding in Chapter 6 of Title 9.1 a section numbered 9.1-601, relating to law-enforcement civilian oversight body.*

Be it enacted by the General Assembly of Virginia:

1. That §§ 9.1-507 and 15.2-1507 of the Code of Virginia are amended and reenacted and that the Code of Virginia is amended by adding in Chapter 6 of Title 9.1 a section numbered 9.1-601 as follows:

§ 9.1-507. Chapter accords minimum rights.

A. The rights accorded law-enforcement officers in this chapter are minimum rights and all agencies, *unless otherwise provided in this section*, shall adopt grievance procedures that are consistent with this chapter. However, an agency may provide for additional rights of law-enforcement officers in its grievance procedure.

B. *The provisions of this chapter shall not apply to any law-enforcement officer or law-enforcement agency that serves under the authority of a locality that has established a law-enforcement civilian oversight body pursuant to § 9.1-601.*

§ 9.1-601. Law-enforcement civilian oversight body.

A. *As used in this section, unless context requires a different meaning:*

"Law-enforcement agency" means a police department established pursuant to § 15.2-1701 or a campus police department of any public institution of higher education of the Commonwealth employing a law-enforcement officer established pursuant to § 23.1-809.

"Law-enforcement officer" means any person, other than a chief of police, who, in his official capacity, is (i) authorized by law to make arrests and (ii) a nonprobationary officer of the police department, bureau, or force of any political subdivision or the campus police department of any public institution of higher education of the Commonwealth where such department, bureau, or force has three or more law-enforcement officers.

"Locality" shall be construed to mean a county or city as the context may require.

For the purposes of this section, "law-enforcement officer" shall not include the sheriff's department of any city or county or any law-enforcement officer who has rights afforded to him pursuant to the provisions of Chapter 5 (§ 9.1-500 et seq.).

B. *A locality may establish a law-enforcement civilian oversight body. A law-enforcement civilian oversight body established pursuant to this section may have the following duties over any law-enforcement agency established within the boundaries of such locality:*

1. *To receive, investigate, and issue findings on complaints from civilians regarding conduct of law-enforcement officers and civilian employees of a law-enforcement agency serving under the authority of the locality;*

2. *To investigate and issue findings on incidents, including the use of force by a law-enforcement officer, death or serious injury to any person held in custody, serious abuse of authority or misconduct, allegedly discriminatory stops, and other incidents regarding the conduct of law-enforcement officers or civilian employees of a law-enforcement agency serving under the authority of the locality;*

3. *Concordant with any investigation conducted pursuant to subdivisions B 1 and 2 and after consultation with such officer or employee's direct supervisor or commander, to make binding disciplinary determinations in cases that involve serious breaches of departmental and professional standards, as defined by the locality. Such disciplinary determinations may include letters of reprimand, suspension without pay, suspension with pay, demotion within the department, reassignment within the department, termination, involuntary restitution, or mediation to be implemented by the local government employee with ultimate supervisory authority over officers or employees of law-enforcement agencies under the authority of the locality;*

4. *To investigate policies, practices, and procedures of law-enforcement agencies under the authority of the locality and to make recommendations regarding changes to such policies, practices, and procedures of law-enforcement agencies under the authority of the locality. If the law-enforcement agency declines to implement any recommended changes from the oversight body, such law-enforcement agency may be required to create a written record, which shall be made available to the public, of its rationale for declining to implement recommendations of the oversight body;*

5. *To review all investigations conducted internally by law-enforcement agencies under the authority*

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60 of the locality, including internal investigations of civilians employed by such law-enforcement agencies,
61 and to issue findings regarding the accuracy, completeness, and impartiality of such investigations and
62 the sufficiency of any discipline resulting from such investigations;

63 6. To request reports of the annual expenditures of the law-enforcement agencies under the authority
64 of the locality and to make budgetary recommendations to the local government body concerning future
65 appropriations;

66 7. To make public reports on the activities of the oversight body, including investigations, hearings,
67 findings, recommendations, determinations, and oversight activities; and

68 8. To undertake any other duties as reasonably necessary for the oversight body to effectuate its
69 lawful purpose as provided for in this section to effectively oversee the law-enforcement agencies as
70 authorized by the locality.

71 C. The locality shall establish the policies and procedures for the performance of duties by the
72 oversight body as set forth in this section. The oversight body may hold hearings and, if after making a
73 good faith effort to obtain, voluntarily, the attendance of witnesses and the production of books, papers,
74 and other evidence necessary to perform its duties the oversight body is unable to obtain such
75 attendance or production, it may apply to the circuit court for the locality for a subpoena compelling
76 the attendance of such witness or the production of such books, papers, and other evidence, and the
77 court may, upon good cause shown, cause the subpoena to be issued. Any person so subpoenaed may
78 apply to the court that issued such subpoena to quash it.

79 D. Any person currently employed by a law-enforcement agency is ineligible to serve on a civilian
80 oversight body established pursuant to this section.

81 E. A law-enforcement officer who is subject to a binding disciplinary determination may file a
82 grievance requesting a final hearing in accordance with § 15.2-1507, provided that such matter is a
83 qualifying grievance under the locality's grievance procedures.

84 F. A law-enforcement civilian oversight body may retain legal counsel to represent the oversight
85 body in all cases, hearings, controversies, or matters involving the interests of the oversight body. Such
86 counsel shall be paid from funds appropriated by the locality.

87 **§ 15.2-1507. Provision of grievance procedure; training programs.**

88 A. If a local governing body fails to adopt a grievance procedure required by § 15.2-1506 or fails to
89 certify it as provided in this section, the local governing body shall be deemed to have adopted a
90 grievance procedure that is consistent with the provisions of Chapter 30 (§ 2.2-3000 et seq.) of Title 2.2
91 and any regulations adopted pursuant thereto for so long as the locality remains in noncompliance. The
92 locality shall provide its employees with copies of the applicable grievance procedure upon request. The
93 term "grievance" as used herein shall not be interpreted to mean negotiations of wages, salaries, or
94 fringe benefits.

95 Each grievance procedure, and each amendment thereto, in order to comply with this section, shall
96 be certified in writing to be in compliance by the city, town, or county attorney, and the chief
97 administrative officer of the locality, and such certification filed with the clerk of the circuit court
98 having jurisdiction in the locality in which the procedure is to apply. Local government grievance
99 procedures in effect as of July 1, 1991, shall remain in full force and effect for 90 days thereafter,
100 unless certified and filed as provided above within a shorter time period.

101 Each grievance procedure shall include the following components and features:

102 1. Definition of grievance. A grievance shall be a complaint or dispute by an employee relating to
103 his employment, including (i) disciplinary actions, including dismissals, disciplinary demotions, and
104 suspensions, provided that dismissals shall be grievable whenever resulting from formal discipline or
105 unsatisfactory job performance; (ii) the application of personnel policies, procedures, rules, and
106 regulations, including the application of policies involving matters referred to in clause (iii) of
107 subdivision 2; (iii) discrimination on the basis of race, color, creed, religion, political affiliation, age,
108 disability, national origin, sex, marital status, pregnancy, childbirth or related medical conditions, sexual
109 orientation, gender identity, or status as a veteran; and (iv) acts of retaliation as the result of the use of
110 or participation in the grievance procedure or because the employee has complied with any law of the
111 United States or of the Commonwealth, has reported any violation of such law to a governmental
112 authority, has sought any change in law before the Congress of the United States or the General
113 Assembly, or has reported an incidence of fraud, abuse, or gross mismanagement. For the purposes of
114 clause (iv), there shall be a rebuttable presumption that increasing the penalty that is the subject of the
115 grievance at any level of the grievance shall be an act of retaliation.

116 2. Local government responsibilities. Local governments shall retain the exclusive right to manage
117 the affairs and operations of government. Accordingly, the following complaints are nongrievable: (i)
118 establishment and revision of wages or salaries, position classification, or general benefits; (ii) work
119 activity accepted by the employee as a condition of employment or work activity that may reasonably be
120 expected to be a part of the job content; (iii) the contents of ordinances, statutes, or established
121 personnel policies, procedures, rules, and regulations; (iv) failure to promote except where the employee

122 can show that established promotional policies or procedures were not followed or applied fairly; (v) the
123 methods, means, and personnel by which work activities are to be carried on; (vi) except where such
124 action affects an employee who has been reinstated within the previous six months as the result of the
125 final determination of a grievance, termination, layoff, demotion, or suspension from duties because of
126 lack of work, reduction in work force, or job abolition; (vii) the hiring, promotion, transfer, assignment,
127 and retention of employees within the local government; and (viii) the relief of employees from duties
128 of the local government in emergencies. In any grievance brought under the exception to clause (vi), the
129 action shall be upheld upon a showing by the local government that (a) there was a valid business
130 reason for the action and (b) the employee was notified of the reason in writing prior to the effective
131 date of the action.

132 3. Coverage of personnel.

133 a. Unless otherwise provided by law, all nonprobationary local government permanent full-time and
134 part-time employees are eligible to file grievances with the following exceptions:

- 135 (1) Appointees of elected groups or individuals;
- 136 (2) Officials and employees who by charter or other law serve at the will or pleasure of an
137 appointing authority;
- 138 (3) Deputies and executive assistants to the chief administrative officer of a locality;
- 139 (4) Agency heads or chief executive officers of government operations;
- 140 (5) Employees whose terms of employment are limited by law;
- 141 (6) Temporary, limited term and seasonal employees;
- 142 (7) Law-enforcement officers as defined in Chapter 5 (§ 9.1-500 et seq.) of Title 9.1 whose grievance
143 is subject to the provisions of Chapter 5 (§ 9.1-500 et seq.) of Title 9.1 and who have elected to proceed
144 pursuant to those provisions in the resolution of their grievance, or any other employee electing to
145 proceed pursuant to any other existing procedure in the resolution of his grievance; *and*

146 (8) *Law-enforcement officers as defined in § 9.1-601 whose grievance is subject to the provisions of*
147 *§ 9.1-601 and relates to a binding disciplinary determination made by a law-enforcement civilian*
148 *oversight body, except as permitted by subsection E of § 9.1-601.*

149 b. Notwithstanding the exceptions set forth in subdivision a, local governments, at their sole
150 discretion, may voluntarily include employees in any of the excepted categories within the coverage of
151 their grievance procedures.

152 c. The chief administrative officer of each local government, or his designee, shall determine the
153 officers and employees excluded from the grievance procedure, and shall be responsible for maintaining
154 an up-to-date list of the affected positions.

155 4. Grievance procedure availability and coverage for employees of community services boards,
156 redevelopment and housing authorities, and regional housing authorities. Employees of community
157 services boards, redevelopment and housing authorities created pursuant to § 36-4, and regional housing
158 authorities created pursuant to § 36-40 shall be included in (i) a local governing body's grievance
159 procedure or personnel system, if agreed to by the department, board, or authority and the locality or (ii)
160 a grievance procedure established and administered by the department, board or authority that is
161 consistent with the provisions of Chapter 30 (§ 2.2-3000 et seq.) of Title 2.2 and any regulations
162 promulgated pursuant thereto. If a department, board or authority fails to establish a grievance procedure
163 pursuant to clause (i) or (ii), it shall be deemed to have adopted a grievance procedure that is consistent
164 with the provisions of Chapter 30 (§ 2.2-3000 et seq.) of Title 2.2 and any regulations adopted pursuant
165 thereto for so long as it remains in noncompliance.

166 5. General requirements for procedures.

167 a. Each grievance procedure shall include not more than four steps for airing complaints at
168 successively higher levels of local government management, and a final step providing for a panel
169 hearing or a hearing before an administrative hearing officer upon the agreement of both parties.

170 b. Grievance procedures shall prescribe reasonable and specific time limitations for the grievant to
171 submit an initial complaint and to appeal each decision through the steps of the grievance procedure.

172 c. Nothing contained in this section shall prohibit a local government from granting its employees
173 rights greater than those contained herein, provided such grant does not exceed or violate the general
174 law or public policy of the Commonwealth.

175 6. Time periods.

176 a. It is intended that speedy attention to employee grievances be promoted, consistent with the ability
177 of the parties to prepare for a fair consideration of the issues of concern.

178 b. The time for submitting an initial complaint shall not be less than 20 calendar days after the event
179 giving rise to the grievance, but local governments may, at their option, allow a longer time period.

180 c. Limits for steps after initial presentation of grievance shall be the same or greater for the grievant
181 than the time that is allowed for local government response in each comparable situation.

182 d. Time frames may be extended by mutual agreement of the local government and the grievant.

183 7. Compliance.

184 a. After the initial filing of a written grievance, failure of either party to comply with all substantial
185 procedural requirements of the grievance procedure, including the panel or administrative hearing,
186 without just cause shall result in a decision in favor of the other party on any grievable issue, provided
187 the party not in compliance fails to correct the noncompliance within five workdays of receipt of written
188 notification by the other party of the compliance violation. Such written notification by the grievant shall
189 be made to the chief administrative officer, or his designee.

190 b. The chief administrative officer, or his designee, at his option, may require a clear written
191 explanation of the basis for just cause extensions or exceptions. The chief administrative officer, or his
192 designee, shall determine compliance issues. Compliance determinations made by the chief
193 administrative officer shall be subject to judicial review by filing petition with the circuit court within
194 30 days of the compliance determination.

195 8. Management steps.

196 a. The first step shall provide for an informal, initial processing of employee complaints by the
197 immediate supervisor through a nonwritten, discussion format.

198 b. Management steps shall provide for a review with higher levels of local government authority
199 following the employee's reduction to writing of the grievance and the relief requested on forms
200 supplied by the local government. Personal face-to-face meetings are required at all of these steps.

201 c. With the exception of the final management step, the only persons who may normally be present
202 in the management step meetings are the grievant, the appropriate local government official at the level
203 at which the grievance is being heard, and appropriate witnesses for each side. Witnesses shall be
204 present only while actually providing testimony. At the final management step, the grievant, at his
205 option, may have present a representative of his choice. If the grievant is represented by legal counsel,
206 local government likewise has the option of being represented by counsel.

207 9. Qualification for panel or administrative hearing.

208 a. Decisions regarding grievability and access to the procedure shall be made by the chief
209 administrative officer of the local government, or his designee, at any time prior to the panel hearing, at
210 the request of the local government or grievant, within 10 calendar days of the request. No city, town,
211 or county attorney, or attorney for the Commonwealth, shall be authorized to decide the question of
212 grievability. A copy of the ruling shall be sent to the grievant. Decisions of the chief administrative
213 officer of the local government, or his designee, may be appealed to the circuit court having jurisdiction
214 in the locality in which the grievant is employed for a hearing on the issue of whether the grievance
215 qualifies for a panel hearing. Proceedings for review of the decision of the chief administrative officer or
216 his designee shall be instituted by the grievant by filing a notice of appeal with the chief administrative
217 officer within 10 calendar days from the date of receipt of the decision and giving a copy thereof to all
218 other parties. Within 10 calendar days thereafter, the chief administrative officer or his designee shall
219 transmit to the clerk of the court to which the appeal is taken: a copy of the decision of the chief
220 administrative officer, a copy of the notice of appeal, and the exhibits. A list of the evidence furnished
221 to the court shall also be furnished to the grievant. The failure of the chief administrative officer or his
222 designee to transmit the record shall not prejudice the rights of the grievant. The court, on motion of the
223 grievant, may issue a writ of certiorari requiring the chief administrative officer to transmit the record on
224 or before a certain date.

225 b. Within 30 days of receipt of such records by the clerk, the court, sitting without a jury, shall hear
226 the appeal on the record transmitted by the chief administrative officer or his designee and such
227 additional evidence as may be necessary to resolve any controversy as to the correctness of the record.
228 The court, in its discretion, may receive such other evidence as the ends of justice require. The court
229 may affirm the decision of the chief administrative officer or his designee, or may reverse or modify the
230 decision. The decision of the court shall be rendered no later than the fifteenth day from the date of the
231 conclusion of the hearing. The decision of the court is final and is not appealable.

232 10. Final hearings.

233 a. Qualifying grievances shall advance to either a panel hearing or a hearing before an administrative
234 hearing officer, as set forth in the locality's grievance procedure, as described below:

235 (1) If the grievance procedure adopted by the local governing body provides that the final step shall
236 be an impartial panel hearing, the panel may, with the exception of those local governments covered by
237 subdivision a (2) of this subsection, consist of one member appointed by the grievant, one member
238 appointed by the agency head and a third member selected by the first two. In the event that agreement
239 cannot be reached as to the final panel member, the chief judge of the circuit court of the jurisdiction
240 wherein the dispute arose shall select the third panel member. The panel shall not be composed of any
241 persons having direct involvement with the grievance being heard by the panel, or with the complaint or
242 dispute giving rise to the grievance. Managers who are in a direct line of supervision of a grievant,
243 persons residing in the same household as the grievant and the following relatives of a participant in the
244 grievance process or a participant's spouse are prohibited from serving as panel members: spouse, parent,

245 child, descendants of a child, sibling, niece, nephew and first cousin. No attorney having direct
246 involvement with the subject matter of the grievance, nor a partner, associate, employee or co-employee
247 of the attorney shall serve as a panel member.

248 (2) If the grievance procedure adopted by the local governing body provides for the final step to be
249 an impartial panel hearing, local governments may retain the panel composition method previously
250 approved by the Department of Human Resource Management and in effect as of the enactment of this
251 statute. Modifications to the panel composition method shall be permitted with regard to the size of the
252 panel and the terms of office for panel members, so long as the basic integrity and independence of
253 panels are maintained. As used in this section, the term "panel" shall include all bodies designated and
254 authorized to make final and binding decisions.

255 (3) When a local government elects to use an administrative hearing officer rather than a
256 three-person panel for the final step in the grievance procedure, the administrative hearing officer shall
257 be appointed by the Executive Secretary of the Supreme Court of Virginia. The appointment shall be
258 made from the list of administrative hearing officers maintained by the Executive Secretary pursuant to
259 § 2.2-4024 and shall be made from the appropriate geographical region on a rotating basis. In the
260 alternative, the local government may request the appointment of an administrative hearing officer from
261 the Department of Human Resource Management. If a local government elects to use an administrative
262 hearing officer, it shall bear the expense of such officer's services.

263 (4) When the local government uses a panel in the final step of the procedure, there shall be a
264 chairperson of the panel and, when panels are composed of three persons (one each selected by the
265 respective parties and the third from an impartial source), the third member shall be the chairperson.

266 (5) Both the grievant and the respondent may call upon appropriate witnesses and be represented by
267 legal counsel or other representatives at the hearing. Such representatives may examine, cross-examine,
268 question and present evidence on behalf of the grievant or respondent before the panel or hearing officer
269 without being in violation of the provisions of § 54.1-3904.

270 (6) The decision of the panel or hearing officer shall be final and binding and shall be consistent
271 with provisions of law and written policy.

272 (7) The question of whether the relief granted by a panel or hearing officer is consistent with written
273 policy shall be determined by the chief administrative officer of the local government, or his designee,
274 unless such person has a direct personal involvement with the event or events giving rise to the
275 grievance, in which case the decision shall be made by the attorney for the Commonwealth of the
276 jurisdiction in which the grievance is pending.

277 b. Rules for panel and administrative hearings.

278 Unless otherwise provided by law, local governments shall adopt rules for the conduct of panel or
279 administrative hearings as a part of their grievance procedures, or shall adopt separate rules for such
280 hearings. Rules that are promulgated shall include the following provisions:

281 (1) That neither the panels nor the hearing officer have authority to formulate policies or procedures
282 or to alter existing policies or procedures;

283 (2) That panels and the hearing officer have the discretion to determine the propriety of attendance at
284 the hearing of persons not having a direct interest in the hearing, and, at the request of either party, the
285 hearing shall be private;

286 (3) That the local government provide the panel or hearing officer with copies of the grievance
287 record prior to the hearing, and provide the grievant with a list of the documents furnished to the panel
288 or hearing officer, and the grievant and his attorney, at least 10 days prior to the scheduled hearing,
289 shall be allowed access to and copies of all relevant files intended to be used in the grievance
290 proceeding;

291 (4) That panels and hearing officers have the authority to determine the admissibility of evidence
292 without regard to the burden of proof, or the order of presentation of evidence, so long as a full and
293 equal opportunity is afforded to all parties for the presentation of their evidence;

294 (5) That all evidence be presented in the presence of the panel or hearing officer and the parties,
295 except by mutual consent of the parties;

296 (6) That documents, exhibits and lists of witnesses be exchanged between the parties or hearing
297 officer in advance of the hearing;

298 (7) That the majority decision of the panel or the decision of the hearing officer, acting within the
299 scope of its or his authority, be final, subject to existing policies, procedures and law;

300 (8) That the panel or hearing officer's decision be provided within a specified time to all parties; and

301 (9) Such other provisions as may facilitate fair and expeditious hearings, with the understanding that
302 the hearings are not intended to be conducted like proceedings in courts, and that rules of evidence do
303 not necessarily apply.

304 11. Implementation of final hearing decisions.

305 Either party may petition the circuit court having jurisdiction in the locality in which the grievant is

306 employed for an order requiring implementation of the hearing decision.

307 B. Notwithstanding the contrary provisions of this section, a final hearing decision rendered under
308 the provisions of this section that would result in the reinstatement of any employee of a sheriff's office,
309 who has been terminated for cause may be reviewed by the circuit court for the locality upon the
310 petition of the locality. The review of the circuit court shall be limited to the question of whether the
311 decision of the panel or hearing officer was consistent with provisions of law and written policy.

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HOUSE BILL NO. 5055

AMENDMENT IN THE NATURE OF A SUBSTITUTE

(Proposed by the House Committee on Public Safety
on August 25, 2020)

(Patron Prior to Substitute—Delegate Herring)

A BILL to amend and reenact §§ 9.1-507 and 15.2-1507 of the Code of Virginia and to amend the Code of Virginia by adding in Chapter 6 of Title 9.1 a section numbered 9.1-601, relating to law-enforcement civilian oversight body.

Be it enacted by the General Assembly of Virginia:

1. That §§ 9.1-507 and 15.2-1507 of the Code of Virginia are amended and reenacted and that the Code of Virginia is amended by adding in Chapter 6 of Title 9.1 a section numbered 9.1-601 as follows:

§ 9.1-507. Chapter accords minimum rights.

A. The rights accorded law-enforcement officers in this chapter are minimum rights and all agencies, unless otherwise provided in this section, shall adopt grievance procedures that are consistent with this chapter. However, an agency may provide for additional rights of law-enforcement officers in its grievance procedure.

B. The provisions of this chapter shall not apply to any law-enforcement officer or law-enforcement agency that serves under the authority of a locality that has established a law-enforcement civilian oversight body pursuant to § 9.1-601.

§ 9.1-601. Law-enforcement civilian oversight body.

A. As used in this section, unless context requires a different meaning:

"Law-enforcement agency" means any sheriff's office, police department, or other agency or department that employs persons who have law-enforcement authority that is under the direction and control of any political subdivision or a campus police department of any public institution of higher education of the Commonwealth employing a law-enforcement officer established pursuant to § 23.1-809.

"Law-enforcement officer" means any person, other than a sheriff or chief of police, who, in his official capacity, (i) is authorized by law to make arrests and (ii) is a nonprobationary officer of the police department, bureau, or force of any political subdivision or the campus police department of any public institution of higher education of the Commonwealth where such department, bureau, or force has three or more law-enforcement officers.

"Locality" shall be construed to mean a county or city as the context may require.

B. A locality shall establish a law-enforcement civilian oversight body. A law-enforcement civilian oversight body established pursuant to this section may have the following duties over any law-enforcement agency established within the boundaries of such locality:

1. To receive, investigate, and issue findings on complaints from civilians regarding conduct of law-enforcement officers and civilian employees of a law-enforcement agency serving under the authority of the locality;

2. To investigate and issue findings on incidents, including the use of force by a law-enforcement officer, death or serious injury to any person held in custody, serious abuse of authority or misconduct, allegedly discriminatory stops, and other incidents regarding the conduct of law-enforcement officers or civilian employees of a law-enforcement agency serving under the authority of the locality;

3. Concordant with any investigation conducted pursuant to subdivisions 1 and 2 and after consultation with such officer's or employee's direct supervisor or commander, to make binding disciplinary determinations in cases that involve serious breaches of departmental and professional standards, as defined by the locality. Such disciplinary determinations may include letters of reprimand, suspension without pay, suspension with pay, demotion within the department, reassignment within the department, termination, involuntary restitution, or mediation to be implemented by the local government employee with ultimate supervisory authority over officers or employees of law-enforcement agencies under the authority of the locality;

4. To investigate policies, practices, and procedures of law-enforcement agencies under the authority of the locality and to make recommendations regarding changes to such policies, practices, and procedures of law-enforcement agencies under the authority of the locality. If the law-enforcement agency declines to implement any recommended changes from the civilian oversight body, such law-enforcement agency may be required to create a written record, which shall be made available to the public, of its rationale for declining to implement recommendations of the civilian oversight body;

5. To review all investigations conducted internally by law-enforcement agencies under the authority of the locality, including internal investigations of civilians employed by such law-enforcement agencies, and to issue findings regarding the accuracy, completeness, and impartiality of such investigations and

60 *the sufficiency of any discipline resulting from such investigations;*

61 *6. To request reports of the annual expenditures of the law-enforcement agencies under the authority*
62 *of the locality and to make budgetary recommendations to the local government body concerning future*
63 *appropriations;*

64 *7. To make public reports on the activities of the civilian oversight body, including investigations,*
65 *hearings, findings, recommendations, determinations, and oversight activities; and*

66 *8. To undertake any other duties as reasonably necessary for the civilian oversight body to effectuate*
67 *its lawful purpose as provided for in this section to effectively oversee the law-enforcement agencies as*
68 *authorized by the locality.*

69 *C. The locality shall establish the policies and procedures for the performance of duties by the*
70 *civilian oversight body as set forth in this section. The civilian oversight body may hold hearings and, if*
71 *after making a good faith effort to obtain, voluntarily, the attendance of witnesses and the production of*
72 *books, papers, and other evidence necessary to perform its duties the civilian oversight body is unable*
73 *to obtain such attendance or production, it may apply to the circuit court for the locality for a subpoena*
74 *compelling the attendance of such witness or the production of such books, papers, and other evidence,*
75 *and the court may, upon good cause shown, cause the subpoena to be issued. Any person so*
76 *subpoenaed may apply to the court that issued such subpoena to quash it.*

77 *D. Any person currently employed by a law-enforcement agency is ineligible to serve on a civilian*
78 *oversight body established pursuant to this section.*

79 *E. A law-enforcement officer who is subject to a binding disciplinary determination may file a*
80 *grievance requesting a final hearing in accordance with § 15.2-1507, provided that such matter is a*
81 *qualifying grievance under the locality's grievance procedures.*

82 *F. A law-enforcement civilian oversight body may retain legal counsel to represent the civilian*
83 *oversight body in all cases, hearings, controversies, or matters involving the interests of the civilian*
84 *oversight body. Such counsel shall be paid from the funds of the locality.*

85 **§ 15.2-1507. Provision of grievance procedure; training programs.**

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87 *certify it as provided in this section, the local governing body shall be deemed to have adopted a*
88 *grievance procedure that is consistent with the provisions of Chapter 30 (§ 2.2-3000 et seq.) of Title 2.2*
89 *and any regulations adopted pursuant thereto for so long as the locality remains in noncompliance. The*
90 *locality shall provide its employees with copies of the applicable grievance procedure upon request. The*
91 *term "grievance" as used herein shall not be interpreted to mean negotiations of wages, salaries, or*
92 *fringe benefits.*

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95 *administrative officer of the locality, and such certification filed with the clerk of the circuit court*
96 *having jurisdiction in the locality in which the procedure is to apply. Local government grievance*
97 *procedures in effect as of July 1, 1991, shall remain in full force and effect for 90 days thereafter,*
98 *unless certified and filed as provided above within a shorter time period.*

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101 *his employment, including (i) disciplinary actions, including dismissals, disciplinary demotions, and*
102 *suspensions, provided that dismissals shall be grievable whenever resulting from formal discipline or*
103 *unsatisfactory job performance; (ii) the application of personnel policies, procedures, rules, and*
104 *regulations, including the application of policies involving matters referred to in clause (iii) of*
105 *subdivision 2; (iii) discrimination on the basis of race, color, creed, religion, political affiliation, age,*
106 *disability, national origin, sex, marital status, pregnancy, childbirth or related medical conditions, sexual*
107 *orientation, gender identity, or status as a veteran; and (iv) acts of retaliation as the result of the use of*
108 *or participation in the grievance procedure or because the employee has complied with any law of the*
109 *United States or of the Commonwealth, has reported any violation of such law to a governmental*
110 *authority, has sought any change in law before the Congress of the United States or the General*
111 *Assembly, or has reported an incidence of fraud, abuse, or gross mismanagement. For the purposes of*
112 *clause (iv), there shall be a rebuttable presumption that increasing the penalty that is the subject of the*
113 *grievance at any level of the grievance shall be an act of retaliation.*

114 *2. Local government responsibilities. Local governments shall retain the exclusive right to manage*
115 *the affairs and operations of government. Accordingly, the following complaints are nongrievable: (i)*
116 *establishment and revision of wages or salaries, position classification, or general benefits; (ii) work*
117 *activity accepted by the employee as a condition of employment or work activity that may reasonably be*
118 *expected to be a part of the job content; (iii) the contents of ordinances, statutes, or established*
119 *personnel policies, procedures, rules, and regulations; (iv) failure to promote except where the employee*
120 *can show that established promotional policies or procedures were not followed or applied fairly; (v) the*
121 *methods, means, and personnel by which work activities are to be carried on; (vi) except where such*

122 action affects an employee who has been reinstated within the previous six months as the result of the
123 final determination of a grievance, termination, layoff, demotion, or suspension from duties because of
124 lack of work, reduction in work force, or job abolition; (vii) the hiring, promotion, transfer, assignment,
125 and retention of employees within the local government; and (viii) the relief of employees from duties
126 of the local government in emergencies. In any grievance brought under the exception to clause (vi), the
127 action shall be upheld upon a showing by the local government that (a) there was a valid business
128 reason for the action and (b) the employee was notified of the reason in writing prior to the effective
129 date of the action.

130 3. Coverage of personnel.

131 a. Unless otherwise provided by law, all nonprobationary local government permanent full-time and
132 part-time employees are eligible to file grievances with the following exceptions:

- 133 (1) Appointees of elected groups or individuals;
- 134 (2) Officials and employees who by charter or other law serve at the will or pleasure of an
135 appointing authority;
- 136 (3) Deputies and executive assistants to the chief administrative officer of a locality;
- 137 (4) Agency heads or chief executive officers of government operations;
- 138 (5) Employees whose terms of employment are limited by law;
- 139 (6) Temporary, limited term, and seasonal employees;
- 140 (7) Law-enforcement officers as defined in Chapter 5 (§ 9.1-500 et seq.) of Title 9.1 whose grievance
141 is subject to the provisions of Chapter 5 (§ 9.1-500 et seq.) of Title 9.1 and who have elected to proceed
142 pursuant to those provisions in the resolution of their grievance, or any other employee electing to
143 proceed pursuant to any other existing procedure in the resolution of his grievance; *and*

144 (8) *Law-enforcement officers as defined in Chapter 5 (§ 9.1-500 et seq.) of Title 9.1 whose grievance
145 is subject to the provisions of § 9.1-601 and relates to a binding disciplinary determination made by a
146 law-enforcement civilian oversight body, except as permitted by subsection E of § 9.1-601.*

147 b. Notwithstanding the exceptions set forth in subdivision a, local governments, at their sole
148 discretion, may voluntarily include employees in any of the excepted categories within the coverage of
149 their grievance procedures.

150 c. The chief administrative officer of each local government, or his designee, shall determine the
151 officers and employees excluded from the grievance procedure, and shall be responsible for maintaining
152 an up-to-date list of the affected positions.

153 4. Grievance procedure availability and coverage for employees of community services boards,
154 redevelopment and housing authorities, and regional housing authorities. Employees of community
155 services boards, redevelopment and housing authorities created pursuant to § 36-4, and regional housing
156 authorities created pursuant to § 36-40 shall be included in (i) a local governing body's grievance
157 procedure or personnel system, if agreed to by the department, board, or authority and the locality or (ii)
158 a grievance procedure established and administered by the department, board, or authority that is
159 consistent with the provisions of Chapter 30 (§ 2.2-3000 et seq.) of Title 2.2 and any regulations
160 promulgated pursuant thereto. If a department, board, or authority fails to establish a grievance
161 procedure pursuant to clause (i) or (ii), it shall be deemed to have adopted a grievance procedure that is
162 consistent with the provisions of Chapter 30 (§ 2.2-3000 et seq.) of Title 2.2 and any regulations
163 adopted pursuant thereto for so long as it remains in noncompliance.

164 5. General requirements for procedures.

165 a. Each grievance procedure shall include not more than four steps for airing complaints at
166 successively higher levels of local government management, and a final step providing for a panel
167 hearing or a hearing before an administrative hearing officer upon the agreement of both parties.

168 b. Grievance procedures shall prescribe reasonable and specific time limitations for the grievant to
169 submit an initial complaint and to appeal each decision through the steps of the grievance procedure.

170 c. Nothing contained in this section shall prohibit a local government from granting its employees
171 rights greater than those contained herein, provided that such grant does not exceed or violate the
172 general law or public policy of the Commonwealth.

173 6. Time periods.

174 a. It is intended that speedy attention to employee grievances be promoted, consistent with the ability
175 of the parties to prepare for a fair consideration of the issues of concern.

176 b. The time for submitting an initial complaint shall not be less than 20 calendar days after the event
177 giving rise to the grievance, but local governments may, at their option, allow a longer time period.

178 c. Limits for steps after initial presentation of grievance shall be the same or greater for the grievant
179 than the time that is allowed for local government response in each comparable situation.

180 d. Time frames may be extended by mutual agreement of the local government and the grievant.

181 7. Compliance.

182 a. After the initial filing of a written grievance, failure of either party to comply with all substantial

183 procedural requirements of the grievance procedure, including the panel or administrative hearing,
184 without just cause shall result in a decision in favor of the other party on any grievable issue, provided
185 the party not in compliance fails to correct the noncompliance within five workdays of receipt of written
186 notification by the other party of the compliance violation. Such written notification by the grievant shall
187 be made to the chief administrative officer, or his designee.

188 b. The chief administrative officer, or his designee, at his option, may require a clear written
189 explanation of the basis for just cause extensions or exceptions. The chief administrative officer, or his
190 designee, shall determine compliance issues. Compliance determinations made by the chief
191 administrative officer shall be subject to judicial review by filing petition with the circuit court within
192 30 days of the compliance determination.

193 8. Management steps.

194 a. The first step shall provide for an informal, initial processing of employee complaints by the
195 immediate supervisor through a nonwritten, discussion format.

196 b. Management steps shall provide for a review with higher levels of local government authority
197 following the employee's reduction to writing of the grievance and the relief requested on forms
198 supplied by the local government. Personal face-to-face meetings are required at all of these steps.

199 c. With the exception of the final management step, the only persons who may normally be present
200 in the management step meetings are the grievant, the appropriate local government official at the level
201 at which the grievance is being heard, and appropriate witnesses for each side. Witnesses shall be
202 present only while actually providing testimony. At the final management step, the grievant, at his
203 option, may have present a representative of his choice. If the grievant is represented by legal counsel,
204 local government likewise has the option of being represented by counsel.

205 9. Qualification for panel or administrative hearing.

206 a. Decisions regarding grievability and access to the procedure shall be made by the chief
207 administrative officer of the local government, or his designee, at any time prior to the panel hearing, at
208 the request of the local government or grievant, within 10 calendar days of the request. No city, town,
209 or county attorney, or attorney for the Commonwealth, shall be authorized to decide the question of
210 grievability. A copy of the ruling shall be sent to the grievant. Decisions of the chief administrative
211 officer of the local government, or his designee, may be appealed to the circuit court having jurisdiction
212 in the locality in which the grievant is employed for a hearing on the issue of whether the grievance
213 qualifies for a panel hearing. Proceedings for review of the decision of the chief administrative officer or
214 his designee shall be instituted by the grievant by filing a notice of appeal with the chief administrative
215 officer within 10 calendar days from the date of receipt of the decision and giving a copy thereof to all
216 other parties. Within 10 calendar days thereafter, the chief administrative officer or his designee shall
217 transmit to the clerk of the court to which the appeal is taken: a copy of the decision of the chief
218 administrative officer, a copy of the notice of appeal, and the exhibits. A list of the evidence furnished
219 to the court shall also be furnished to the grievant. The failure of the chief administrative officer or his
220 designee to transmit the record shall not prejudice the rights of the grievant. The court, on motion of the
221 grievant, may issue a writ of certiorari requiring the chief administrative officer to transmit the record on
222 or before a certain date.

223 b. Within 30 days of receipt of such records by the clerk, the court, sitting without a jury, shall hear
224 the appeal on the record transmitted by the chief administrative officer or his designee and such
225 additional evidence as may be necessary to resolve any controversy as to the correctness of the record.
226 The court, in its discretion, may receive such other evidence as the ends of justice require. The court
227 may affirm the decision of the chief administrative officer or his designee, or may reverse or modify the
228 decision. The decision of the court shall be rendered no later than the fifteenth day from the date of the
229 conclusion of the hearing. The decision of the court is final and is not appealable.

230 10. Final hearings.

231 a. Qualifying grievances shall advance to either a panel hearing or a hearing before an administrative
232 hearing officer, as set forth in the locality's grievance procedure, as described below:

233 (1) If the grievance procedure adopted by the local governing body provides that the final step shall
234 be an impartial panel hearing, the panel may, with the exception of those local governments covered by
235 subdivision a (2), consist of one member appointed by the grievant, one member appointed by the
236 agency head and a third member selected by the first two. In the event that agreement cannot be reached
237 as to the final panel member, the chief judge of the circuit court of the jurisdiction wherein the dispute
238 arose shall select the third panel member. The panel shall not be composed of any persons having direct
239 involvement with the grievance being heard by the panel, or with the complaint or dispute giving rise to
240 the grievance. Managers who are in a direct line of supervision of a grievant, persons residing in the
241 same household as the grievant and the following relatives of a participant in the grievance process or a
242 participant's spouse are prohibited from serving as panel members: spouse, parent, child, descendants of
243 a child, sibling, niece, nephew and first cousin. No attorney having direct involvement with the subject
244 matter of the grievance, nor a partner, associate, employee or co-employee of the attorney shall serve as

245 a panel member.

246 (2) If the grievance procedure adopted by the local governing body provides for the final step to be
247 an impartial panel hearing, local governments may retain the panel composition method previously
248 approved by the Department of Human Resource Management and in effect as of the enactment of this
249 statute. Modifications to the panel composition method shall be permitted with regard to the size of the
250 panel and the terms of office for panel members, so long as the basic integrity and independence of
251 panels are maintained. As used in this section, the term "panel" shall include all bodies designated and
252 authorized to make final and binding decisions.

253 (3) When a local government elects to use an administrative hearing officer rather than a
254 three-person panel for the final step in the grievance procedure, the administrative hearing officer shall
255 be appointed by the Executive Secretary of the Supreme Court of Virginia. The appointment shall be
256 made from the list of administrative hearing officers maintained by the Executive Secretary pursuant to
257 § 2.2-4024 and shall be made from the appropriate geographical region on a rotating basis. In the
258 alternative, the local government may request the appointment of an administrative hearing officer from
259 the Department of Human Resource Management. If a local government elects to use an administrative
260 hearing officer, it shall bear the expense of such officer's services.

261 (4) When the local government uses a panel in the final step of the procedure, there shall be a
262 chairperson of the panel and, when panels are composed of three persons (one each selected by the
263 respective parties and the third from an impartial source), the third member shall be the chairperson.

264 (5) Both the grievant and the respondent may call upon appropriate witnesses and be represented by
265 legal counsel or other representatives at the hearing. Such representatives may examine, cross-examine,
266 question and present evidence on behalf of the grievant or respondent before the panel or hearing officer
267 without being in violation of the provisions of § 54.1-3904.

268 (6) The decision of the panel or hearing officer shall be final and binding and shall be consistent
269 with provisions of law and written policy.

270 (7) The question of whether the relief granted by a panel or hearing officer is consistent with written
271 policy shall be determined by the chief administrative officer of the local government, or his designee,
272 unless such person has a direct personal involvement with the event or events giving rise to the
273 grievance, in which case the decision shall be made by the attorney for the Commonwealth of the
274 jurisdiction in which the grievance is pending.

275 b. Rules for panel and administrative hearings.

276 Unless otherwise provided by law, local governments shall adopt rules for the conduct of panel or
277 administrative hearings as a part of their grievance procedures, or shall adopt separate rules for such
278 hearings. Rules that are promulgated shall include the following provisions:

279 (1) That neither the panels nor the hearing officer have authority to formulate policies or procedures
280 or to alter existing policies or procedures;

281 (2) That panels and the hearing officer have the discretion to determine the propriety of attendance at
282 the hearing of persons not having a direct interest in the hearing, and, at the request of either party, the
283 hearing shall be private;

284 (3) That the local government provide the panel or hearing officer with copies of the grievance
285 record prior to the hearing, and provide the grievant with a list of the documents furnished to the panel
286 or hearing officer, and the grievant and his attorney, at least 10 days prior to the scheduled hearing,
287 shall be allowed access to and copies of all relevant files intended to be used in the grievance
288 proceeding;

289 (4) That panels and hearing officers have the authority to determine the admissibility of evidence
290 without regard to the burden of proof, or the order of presentation of evidence, so long as a full and
291 equal opportunity is afforded to all parties for the presentation of their evidence;

292 (5) That all evidence be presented in the presence of the panel or hearing officer and the parties,
293 except by mutual consent of the parties;

294 (6) That documents, exhibits and lists of witnesses be exchanged between the parties or hearing
295 officer in advance of the hearing;

296 (7) That the majority decision of the panel or the decision of the hearing officer, acting within the
297 scope of its or his authority, be final, subject to existing policies, procedures and law;

298 (8) That the panel or hearing officer's decision be provided within a specified time to all parties; and

299 (9) Such other provisions as may facilitate fair and expeditious hearings, with the understanding that
300 the hearings are not intended to be conducted like proceedings in courts, and that rules of evidence do
301 not necessarily apply.

302 11. Implementation of final hearing decisions.

303 Either party may petition the circuit court having jurisdiction in the locality in which the grievant is
304 employed for an order requiring implementation of the hearing decision.

305 B. Notwithstanding the contrary provisions of this section, a final hearing decision rendered under

306 the provisions of this section that would result in the reinstatement of any employee of a sheriff's office,
307 who has been terminated for cause may be reviewed by the circuit court for the locality upon the
308 petition of the locality. The review of the circuit court shall be limited to the question of whether the
309 decision of the panel or hearing officer was consistent with provisions of law and written policy.